



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. 91,297-O)

PATENT

In re Application of :

John Goodchild
Paul C. Zamecnik

Serial No.: 08/346,270

Filing Date: November 23, 1994

For: Inhibition of HLTV-III By Exogenous Oligonucleotides

Examiner:

Group Art Unit

RESPONSE TO NOTICE TO FILE MISSING PARTS MAILED DECEMBER 14, 1994

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

**Attn: Application Processing Division
Special Processing and Correspondence Branch**

Dear Sir:

In response to the Notice to File Missing Parts, mailed December 14, 1994 enclosed is an executed Declaration and Power of Attorney and a Verified Statement Claiming Small Entity Status. Because the assignee is a small entity, Applicants believe the fees as originally submitted are correct. A check in the amount of \$2,244 accompanies this submission which includes: (1) \$365.00 basic filing fee of the application; (2) \$1,814.00 for additional claims and (3) 65.00 surcharge fee.

Respectfully submitted,

Date: May 22, 1995

By:


Michael S. Greenfield, Esq.
Registration No. 37142

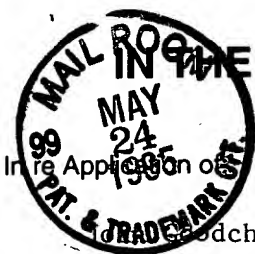
BANNER & ALLEGRETTI, LTD.
10 South Wacker Drive, Suite 3000
Chicago, IL 60606
Telephone: (312) 715-1000
FAX: (312) 715-1234

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on May 22/1995.

Date: May 22, 1995

arks, Washington, D.C. 20231, on May 22

 Michael S. Greenfield



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Case No. 91,297-0)

In re Application of

Goodchild and Paul C. Zamecnik

Serial No. 08/346,270

Filed November 23, 1994

For Inhibition of HLTIV-III By Exogenous
Oligonucleotides
The Commissioner of Patents and Trademarks
Washington, D.C. 20231

Group Art Unit _____

Examiner _____

Attn: Application Processing Division
Special Processing and Correspondence Branch

Sir:

TRANSMITTAL LETTER

In regard to the above-identified patent application:

1. We are transmitting herewith the attached Petition for Extension of Time; Response to Notice of Missing Parts; Copy of Notice of Missing Parts; Declaration and Power of Attorney; Verified Statement of Small Entity Status and Return Postcard

2. With respect to additional fees:

- ☐ A. No additional fee is required.
☐ B. An additional fee is required and has been calculated as shown below:

CLAIM AS AMENDED						
(1)	(2) CLAIMS REMAINING AFTER AMENDMENT	(3)	(4) HIGHEST NO. PREVIOUSLY PAID FOR	(5) PRESENT EXTRA	(6) RATE	(7) ADDITIONAL FEE
TOTAL CLAIMS		MINUS				X
INDEP. CLAIMS		MINUS				X
				TOTAL ADDITIONAL FEE FOR THIS AMENDMENT →		

* If the entry in Column 2 is less than the entry in Column 4, write "0" in Column 5.

** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

*** Each multiple dependent claim should be counted on the number of claims from which it depends.

- ☒ C. Attached is a check in the amount of \$ \$2,924.00.
☐ D. Charge the total additional fee to our Deposit Account No. 01-0850.
A duplicate copy of this sheet is enclosed.

3. Please charge any additional fees or credit overpayment to the Deposit Account No. 01-0850. A duplicate copy of this sheet is enclosed.

4. CERTIFICATE UNDER 37 C.F.R. 1.8 (FIRST CLASS MAIL): The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on this 22 day of May, 19 95.

By

Michael S. Greenfield, Esq.

Reg. No. 37,142

BANNER & ALLEGRETTI, LTD.
10 SOUTH WACKER DRIVE
CHICAGO, ILLINOIS 60606
PHONE: 312-715-1000
FAX: 312-715-1234

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Case No. 91,297-O)

03CP
12/15/94
A/W #3

Re Application of:

John Goodchild and Paul C. Zamecnik

Serial No. 08/346,270

Filed November 23, 1994

For Inhibition of HLTIV-III Exogenous
Oligonucleotides
The Commissioner of Patents and Trademarks
Washington, D.C. 20231

Group Art Unit _____

Examiner _____

Sir:

TRANSMITTAL LETTER

In regard to the above-identified patent application:

1. We are transmitting herewith the attached
- Change of Firm Name and Return Postcard

2. With respect to additional fees:

- ☒
- A. No additional fee is required.
-
- ☐
- B. An additional fee is required and has been calculated as shown below:

CLAIM AS AMENDED						
(1)	(2) CLAIMS REMAINING AFTER AMENDMENT	(3)	(4) HIGHEST NO. PREVIOUSLY PAID FOR	(5) PRESENT EXTRA	(6) RATE	(7) ADDITIONAL FEE
TOTAL CLAIMS		MINUS				X
INDEP. CLAIMS		MINUS				X
				TOTAL ADDITIONAL FEE FOR THIS AMENDMENT →		

* If the entry in Column 2 is less than the entry in Column 4, write "0" in Column 5.

** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

*** Each multiple dependent claim should be counted on the number of claims from which it depends.

- ☐
- C. Attached is a check in the amount of \$ _____
-
- ☐
- D. Charge the total additional fee to our Deposit Account No. 01-0850.
-
- A duplicate copy of this sheet is enclosed.

3. Please charge any additional fees or credit overpayment to the Deposit Account No. 01-0850. A duplicate copy of this sheet is enclosed.

4. CERTIFICATE UNDER 37 C.F.R. 1.8 (FIRST CLASS MAIL): The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on this
- 22nd
- day of
- May
- , 19
- 95
- .

By Michael S. Greenfield

Michael S. Greenfield, Esq.

Reg. No. 37142BANNER & ALLEGRETTI, LTD.
10 SOUTH WACKER DRIVE
CHICAGO, ILLINOIS 60606
PHONE: 312-715-1000
FAX: 312-715-1234

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65-205
814-203
UNITED STATES OF AMERICA

12/15/94 H/W
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
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08/346,270 11/23/94 GOODCHILD J 912970

ALLEGRETTI & WITKOFF LTD
10 SOUTH WACKER DRIVE
30TH FLOOR
CHICAGO IL 60606
0000

DATE MAILED: 12/14/94

NOTICE TO FILE MISSING PARTS OF APPLICATION
FILING DATE GRANTED

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 135 for large entities or \$ 6 for small entities who have filed a verified statement claiming such status. The surcharge is set forth in 37 CFR 1.16(e).

If all required items on this form are filed within the period set below, the total amount owed by applicant as a ☒ large entity, ☐ small entity (verified statement filed), is \$ 141.50.

Applicant is given **ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE** of this application, **WHICHEVER IS LATER**, within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- ☒ The statutory basic filing fee is: ☒ missing ☐ insufficient. Applicant as a ☒ large entity ☐ small entity, must submit \$ 730 to complete the basic filing fee.
 - ☒ Additional claim fees of \$ 3628 as a ☒ large entity, ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
 - ☐ The oath or declaration:
☐ is missing.
☐ does not cover items omitted at time of execution.
- An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required.
- ☐ The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
 - ☒ The signature to the oath or declaration is: ☒ missing; ☐ a reproduction; ☐ by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
 - ☐ The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.
 - ☐ The application was filed in a language other than English. Applicant must file a verified English translation of the application and a fee of \$ _____ under 37 CFR 1.17(k), unless this fee has already been paid.
 - ☐ A \$ _____ processing fee is required for returned checks. (37 CFR 1.21(m)).
 - ☐ Your filing receipt was mailed in error because check was returned without payment.
 - ☐ The application does not comply with the Sequence Rules. See attached Notice to Comply with Sequence Rules 37 CFR 1.821-1.825.

1	201	365.00	CK
1	205	65.00	CK
1	203	1,814.00	CK
 - ☐ 100 MG 05/31/95 08346270
☐ 100 MG 05/31/95 08346270

Direct the response and any questions about this notice to: Application Processing Division, Special Processing and Correspondence Branch (703) 308-1202.

A copy of this notice **MUST** be returned with the response.